



BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 Washington Street, S.W.
Atlanta, Georgia 30334

BOARD OF REGENTS MEETING AGENDA
Tuesday, January 8, 2013

<u>Approximate Times</u>	<u>Tab</u>	<u>Agenda Item</u>	<u>Presenter</u>
9:30 AM Room 7019	1	Executive & Compensation Committee Meeting	Chairman "Dink" NeSmith
10:30 AM Room 7007	2	Call to Order	Chairman "Dink" NeSmith
	3	Invocation/Pledge of Allegiance	Regent Benjamin Tarbutton
	4	Safety Briefing	Chief Bruce Holmes
	5	Attendance Report	Secretary J. Burns Newsome
	6	Approval of November Minutes	Chairman "Dink" NeSmith
	7	Chairman's remarks	Chairman "Dink" NeSmith
	8	Introduction of New President: President Robert G. Boehmer, East Georgia State College	Chancellor Henry Huckaby
	9	Recognition of Special Guests: Rhodes Scholars Elizabeth Allan, University of Georgia Joy A. Buolamwini, Georgia Institute of Technology	Chancellor Henry Huckaby
	10	Committee of the Whole: Special Consolidation	Chairman "Dink" NeSmith Ms. Shelley Nickel
11:15 AM Room 7010	11	Lunch	
12:30 PM Room 7007	12	Reconvene	Chairman "Dink" NeSmith
12:30 PM Room 7007		<u>Track I Committee Meetings</u>	
Room 7007	13	Academic Affairs	Regent C. Dean Alford
Room 7007	14	Personnel & Benefits	Regent Neil Pruitt
Room 7007	15	Graduate Medical Education	Regent C. Thomas Hopkins
Room 7007	16	Organization & Law	Regent Rutledge Griffin
12:30 PM Room 5158		<u>Track II Committee Meetings</u>	
Room 5158	17	Internal Audit, Risk & Compliance	Regent Larry Walker
Room 5158	18	Finance & Business Operations	Regent Kenneth Bernard
Room 5158	19	Real Estate & Facilities	Regent Kessel Stelling



BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 Washington Street, S.W.
Atlanta, Georgia 30334

BOARD OF REGENTS MEETING AGENDA
Tuesday, January 8, 2013

<u>Approximate Times</u>	<u>Tab</u>	<u>Agenda Item</u>	<u>Presenter</u>
3:35 PM Room 7007	20	Reconvene	Chairman "Dink" NeSmith
	21	COW: Internal Audit, Risk and Compliance	Regent Larry Walker
	22	Chancellor's report	Chancellor Henry Huckaby
4:05 PM Room 7007	23	Committee Reports:	
		A. Executive & Compensation	Chairman "Dink" NeSmith
		B. Academic Affairs	Regent C. Dean Alford
		C. COW: Internal Audit, Risk & Compliance	Regent Larry Walker
		D. COW: Special Consolidation	Chairman "Dink" NeSmith
		E. Finance & Business Operations	Regent Kenneth Bernard
		F. Graduate Medical Education	Regent C. Thomas Hopkins
		G. Internal Audit, Risk & Compliance	Regent Larry Walker
		H. Organization & Law	Regent Rutledge Griffin
		I. Personnel & Benefits	Regent Neil Pruitt
		J. Real Estate & Facilities	Regent Kessel Stelling
	24	Unfinished Business	Chairman "Dink" NeSmith
	25	New Business	Chairman "Dink" NeSmith
	26	Petitions and Communications <i>Save the Date: Regents' Salute to Education March 23, 2013</i>	Secretary Burns Newsome Regent Kenneth Bernard USG Foundation, Inc., Chair
	27	Executive Session	
	28	Adjournment	Chairman "Dink" NeSmith

AGENDA
EXECUTIVE AND COMPENSATION COMMITTEE
January 8, 2013

Agenda Items

Page No.

INFORMATION ITEMS

- | | | |
|----|--------------------------------|---|
| 1. | Non-Academic Program Offerings | 1 |
| 2. | Executive Session | 2 |

AGENDA
EXECUTIVE AND COMPENSATION COMMITTEE
January 8, 2013

1. Non-Academic Program Offerings

Dr. Houston Davis, Executive Vice Chancellor for Academic Affairs and Chief Academic Officer, will lead a discussion regarding procedures for creating new programs.

2. Executive Session

The Committee plans to discuss personnel and compensation matters, as well as Presidential Searches. The Committee may also receive an update on litigation. Materials will be distributed in Executive Session.

Agenda

Special Committee on Consolidation

January 8, 2013

<u>Agenda Item</u>	<u>Page No.</u>
--------------------	-----------------

Action Items

- | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 1. Approve a Resolution consolidating Augusta State University and Georgia Health Sciences University creating Georgia Regents University | 2 |
| 2. Approve a Resolution consolidating Macon State College and Middle Georgia College creating Middle Georgia State College | 3 |
| 3. Approve a Resolution consolidating South Georgia College and Waycross College creating South Georgia State College | 4 |
| 4. Approve a Resolution consolidating Gainesville State College and North Georgia College and State University creating the University of North Georgia | 5 |
| 5. Naming of the President of Georgia Regents University | 6 |
| 6. Naming of the President of Middle Georgia State College | 7 |
| 7. Naming of the President of South Georgia State College | 8 |
| 8. Naming of the President of University of North Georgia | 9 |

**BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 WASHINGTON STREET, S.W.
ATLANTA, GEORGIA 30334-1450**

A RESOLUTION OF

THE BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA

WHEREAS, the management, governance and control of the University System of Georgia is entrusted by the Georgia Constitution in the Board of Regents; and

WHEREAS, Augusta State University, a unit of the University System of Georgia, is committed to the advancement of knowledge and the enrichment of its community in a climate that fosters a love of learning; and

WHEREAS, the Georgia Health Sciences University, a unit of the University System of Georgia and the state's only public academic health center, leads Georgia and the world to better health by providing excellence in biomedical education, discovery, and service; and

WHEREAS, the Board of Regents finds that the strengths of each university enhance and promote the many strengths of the other; and

WHEREAS, the Board of Regents further finds that the consolidation of Augusta State University and the Georgia Health Sciences University will more effectively serve the citizens and students of Georgia by expanding academic and extracurricular offerings and increasing access to educational attainment; NOW, THEREFORE BE IT

RESOLVED, That Augusta State University and the Georgia Health Sciences University shall, effective immediately, be consolidated and united to form Georgia Regents University; and, be it further

RESOLVED, That the consolidated Georgia Regents University inure to and possess all of the rights, authorities, and obligations formerly possessed by Augusta State University or the Georgia Health Sciences University; and, be it further

RESOLVED, That Bylaw I.6 of *The Bylaws of the Board of Regents of the University System of Georgia* be amended to reflect the creation and name of Georgia Regents University; and, be it further

RESOLVED, That Chancellor Henry M. Huckaby is hereby directed to take those actions that will most efficiently and effectively give effect to this Resolution of the Board of Regents.

RESOLVED this 8th day of January, 2013, in Atlanta, Georgia.

Chair of the Board of Regents
of the University System of Georgia

Secretary to the Board of Regents
of the University System of Georgia

**BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 WASHINGTON STREET, S.W.
ATLANTA, GEORGIA 30334-1450**

A RESOLUTION OF

THE BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA

WHEREAS, the management, governance and control of the University System of Georgia is entrusted by the Georgia Constitution in the Board of Regents; and

WHEREAS, Macon State College, a unit of the University System of Georgia and a four-year, residential, bachelor's degree-awarding college in Central Georgia, is focused on providing professionally oriented programs to address the workforce needs of Georgia; and

WHEREAS, Middle Georgia College, a unit of the University System of Georgia, offers a wide range of programs of study designed for transfer to four-year institutions and prepares students for entry directly into business, industry, and other careers; and

WHEREAS, the Board of Regents finds that the strengths of each college enhance and promote the many strengths of the other; and

WHEREAS, the Board of Regents further finds that the consolidation of Macon State College and Middle Georgia College will more effectively serve the citizens and students of Georgia by expanding academic and extracurricular offerings and increasing access to educational attainment; NOW, THEREFORE BE IT

RESOLVED, That Macon State College and Middle Georgia College shall, effective immediately, be consolidated and united to form Middle Georgia State College; and, be it further

RESOLVED, That the consolidated Middle Georgia State College inure to and possess all of the rights, authorities, and obligations formerly possessed by Macon State College or Middle Georgia College; and, be it further

RESOLVED, That Bylaw I.6 of *The Bylaws of the Board of Regents of the University System of Georgia* be amended to reflect the creation and name of Middle Georgia State College; and, be it further

RESOLVED, That Chancellor Henry M. Huckaby is hereby directed to take those actions that will most efficiently and effectively give effect to this Resolution of the Board of Regents.

RESOLVED this 8th day of January, 2013, in Atlanta, Georgia.

Chair of the Board of Regents
of the University System of Georgia

Secretary to the Board of Regents
of the University System of Georgia

**BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 WASHINGTON STREET, S.W.
ATLANTA, GEORGIA 30334-1450**

A RESOLUTION OF

THE BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA

WHEREAS, the management, governance and control of the University System of Georgia is entrusted by the Georgia Constitution in the Board of Regents; and

WHEREAS, South Georgia College, a unit of the University System of Georgia, is focused on a core of strong teaching and personalized academic advising for the purpose of ensuring student success; and

WHEREAS, Waycross College, a unit of the University System of Georgia, is committed to student-centered instructional excellence; and

WHEREAS, the Board of Regents finds that the strengths of each college enhance and promote the many strengths of the other; and

WHEREAS, the Board of Regents further finds that the consolidation of South Georgia College and Waycross College will more effectively serve the citizens and students of Georgia by expanding academic and extracurricular offerings and increasing access to educational attainment; NOW, THEREFORE BE IT

RESOLVED, That South Georgia College and Waycross College shall, effective immediately, be consolidated and united to form South Georgia State College; and, be it further

RESOLVED, That the consolidated South Georgia State College inure to and possess all of the rights, authorities, and obligations formerly possessed by South Georgia College or Waycross College; and, be it further

RESOLVED, That Bylaw I.6 of *The Bylaws of the Board of Regents of the University System of Georgia* be amended to reflect the creation and name of South Georgia State College; and, be it further

RESOLVED, That Chancellor Henry M. Huckaby is hereby directed to take those actions that will most efficiently and effectively give effect to this Resolution of the Board of Regents.

RESOLVED this 8th day of January, 2013, in Atlanta, Georgia.

Chair of the Board of Regents
of the University System of Georgia

Secretary to the Board of Regents
of the University System of Georgia

**BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA
270 WASHINGTON STREET, S.W.
ATLANTA, GEORGIA 30334-1450**

A RESOLUTION OF

THE BOARD OF REGENTS OF THE UNIVERSITY SYSTEM OF GEORGIA

WHEREAS, the management, governance and control of the University System of Georgia is entrusted by the Georgia Constitution in the Board of Regents; and

WHEREAS, Gainesville State College, a unit of the University System of Georgia, is a premier teaching institution with a student-focused and learning-centered environment; and

WHEREAS, North Georgia College & State University, the designated leadership institution of the University System of Georgia, emphasizes strong liberal arts, as well as pre-professional, professional and graduate programs; and

WHEREAS, the Board of Regents finds that the strengths of each institution enhance and promote the many strengths of the other; and

WHEREAS, the Board of Regents further finds that the consolidation of Gainesville State College and North Georgia College & State University will more effectively serve the citizens and students of Georgia by expanding academic and extracurricular offerings and increasing access to educational attainment; NOW, THEREFORE BE IT

RESOLVED, That Gainesville State College and North Georgia College & State University shall, effective immediately, be consolidated and united to form the University of North Georgia; and, be it further

RESOLVED, That the consolidated University of North Georgia inure to and possess all of the rights, authorities, and obligations formerly possessed by Gainesville State College or North Georgia College & State University; and, be it further

RESOLVED, That Bylaw 1.6 of *The Bylaws of the Board of Regents of the University System of Georgia* be amended to reflect the creation and name of the University of North Georgia; and, be it further

RESOLVED, That Chancellor Henry M. Huckaby is hereby directed to take those actions that will most efficiently and effectively give effect to this Resolution of the Board of Regents.

RESOLVED this 8th day of January, 2013, in Atlanta, Georgia.

Chair of the Board of Regents
of the University System of Georgia

Secretary to the Board of Regents
of the University System of Georgia

5. It is recommended that the Board of Regents approve Chancellor Henry M. Huckaby's recommendation that Dr. Ricardo Azziz be appointed the President of Georgia Regents University, with all rights and authorities, and all terms of employment contained in *The Policy Manual of the Board of Regents of the University System of Georgia*.

6. It is recommended that the Board of Regents approve Chancellor Henry M. Huckaby's recommendation that Dr. John Black be appointed the Interim President of Middle Georgia State College, with all rights and authorities, and all terms of employment contained in *The Policy Manual of the Board of Regents of the University System of Georgia*.

7. It is recommended that the Board of Regents approve Chancellor Henry M. Huckaby's recommendation that Dr. Virginia Carson be appointed the President of South Georgia State College, with all rights and authorities, and all terms of employment contained in *The Policy Manual of the Board of Regents of the University System of Georgia*.

8. It is recommended that the Board of Regents approve Chancellor Henry M. Huckaby's recommendation that Dr. Bonita Jacobs be appointed the President of the University of North Georgia, with all rights and authorities, and all terms of employment contained in *The Policy Manual of the Board of Regents of the University System of Georgia*.

AGENDA
COMMITTEE ON ACADEMIC AFFAIRS
January 8, 2013

Agenda Item	Page No.
--------------------	-----------------

INFORMATION ITEM

- | | |
|---------------------------------------------------------------------|---|
| 1. Orientation for New Members of the Committee on Academic Affairs | 3 |
|---------------------------------------------------------------------|---|

CONSENT ITEMS

I. Academic Programs

New Program Request:

- | | |
|---------------------------------------------------------------------------------------------------|---|
| 2. Establishment of a Bachelor of Science with a major in Human Services,
Gordon State College | 4 |
|---------------------------------------------------------------------------------------------------|---|

Program Development in Response to Advanced Certification Rule Changes by the Georgia Professional Standards Commission:

- | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 3. Ratification of the Chancellor's December 2012 Approval to Substantively
Change the Library Media Concentration in the Existing Master of Education
Degree to a Stand-Alone Master of Education with a Major in Library Media,
Georgia College & State University | 5 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|

Program Modification Request:

- | | |
|-----------------------------------------------------------------------------------------------------|---|
| 4. Substantive Change of the Master of Science with a major in Nursing,
Georgia State University | 6 |
|-----------------------------------------------------------------------------------------------------|---|

Program Termination Requests:

- | | |
|---------------------------------------------------------------------------------------|---|
| 5. Request to Terminate Nine Programs at Two Institutions | 7 |
| a. Armstrong Atlantic State University | |
| i. Master of Education with a major in Middle Grades Education | |
| ii. Master of Education with a major in Special Education (Behavior
Disorders) | |
| iii. Master of Education with a major in Special Education (Learning
Disabilities) | |
| iv. Master of Science in Physical Therapy | |
| v. One-Year Certificate in Basic Law Enforcement | |
| b. University of West Georgia | |
| i. Specialist in Education with a Major in Middle Grades | |

- ii. Bachelor of Science with a Major in Secondary Teacher Education
- iii. Bachelor of Science with a Major in Recreation
- iv. Bachelor of Business Administration in Technology Support Systems

II. Institutional Change

- 6. Reassignment of the Skidaway Institute of Oceanography to the University of Georgia 8

III. Named/Endowed Positions

- 7. Request to establish the Omer Clyde and Elizabeth Parr Aderhold Professorship in Education, University of Georgia 9
- 8. Request to establish the Ashe, Rafuse and Hill Professorship in the College of Law, Georgia State University 10
- 9. Request to establish the Esther R. and Edward J. Brown, Jr. Chair in the Ernest Scheller Jr. College of Business, Georgia Institute of Technology 11
- 10. Request to establish the Nancy J. and Lawrence P. Huang Professorship in the Ernest Scheller Jr. College of Business, Georgia Institute of Technology 12
- 11. Named Faculty Position Appointments 13

INFORMATION ITEM

1. Orientation for New Members of the Committee on Academic Affairs

The Committee Chair and USG central office staff will brief new members of the Committee on Academic Affairs on the committee's charge and scope of responsibilities.

2. Establishment of a Bachelor of Science with a major in Human Services, Gordon State College

Recommendation: That the Board approve the request of President Max Burns that Gordon State College (“GSC”) be authorized to establish a Bachelor of Science with a major in Human Services, effective January 8, 2013.

Program Summary:

This interdisciplinary program links psychology and sociology with public policy and administration in order to provide students with the knowledge and skills necessary to work in a wide variety of settings, including nonprofit organizations, government agencies, and private industries. The program will serve students new to the institution as well as students who have earned an associate degree from Gordon State College but have not yet earned a baccalaureate degree. A service learning project and a semester-long supervised internship in an approved human services agency are requirements of the program. Human Services graduates can look forward to employment in group homes, correctional facilities, community mental health centers, child and family services, crisis centers, substance abuse clinics, and corporate employee assistance programs, to name a few.

List of Similar Existing USG Programs and Productivity:

At this time there are three baccalaureate degree programs in Human Services in the USG; at Kennesaw State University, Gainesville State College and Macon State College. Macon State indicates support for Gordon’s program.

Institution	Degrees Conferred		
	2010	2011	2012
Kennesaw State University	64	73	77
Gainesville State College	NA	NA	12
Macon State College	54	37	38

Projected Enrollment:

The program is expected to enroll 16 to 23 students a year.

Fiscal Summary:

The institution’s existing budget will cover all costs associated with the program. The program requires no new faculty positions to begin the program.

Facilities Impact:

The program will be delivered using existing space at the institution.

Accountability:

The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The program will be reviewed in concert with the institution’s programmatic schedule of comprehensive program reviews.

3. **Ratification of the Chancellor's December 2012 approval to substantively change the Library Media concentration in the existing Master of Education degree to a stand-alone Master of Education with a major in Library Media, Georgia College & State University**

Recommendation: That the Board ratify the Chancellor's December 2012 approval of the request of President Steven Dorman that Georgia College & State University be authorized to substantively change the Library Media concentration in their existing Master of Education in Instructional Technology to a stand-alone Master of Education with a major in Library Media

Abstract: In May 2012, the Board approved several institutions to establish existing concentrations in graduate teacher education programs as stand-alone degree programs to comply with recent changes in the policy of the Georgia Professional Standards Commission (GaPSC) regarding the eligibility of certain advanced graduate degrees to qualify in-service educators for certificate-level upgrades.

At that time, the Library Media concentration in Georgia College and State University's existing Master of Education was inadvertently omitted from the list for approval. In order to allow students to graduate from the program in December 2012 and be eligible to receive the appropriate advanced certification from the GaPSC, the President requested approval from the Chancellor to make the concentration a stand-alone degree. Ratification of the Chancellor's approval is now requested from the Board.

4. **Request for a Substantive Change to the Master of Science with a major in Nursing, Georgia State University**

Recommendation: That the Board approve the request of President Mark P. Becker that Georgia State University (“GSU”) be authorized to substantively change the existing Master of Science with a major in Nursing, effective January 8, 2013.

Abstract: GSU seeks to substantively change the existing Master of Science with a major in Nursing. GSU currently offers a specialty track within this degree program for Adult Psychiatric Mental Health Clinical Nursing. The American Nurses Credentialing Center in collaboration with approximately forty nursing organizations developed the Consensus Model for Advanced Practice Registered Nurse (APRN) Regulation to modernize and standardize nursing roles across the country. The American Nurses Credentialing Center is retiring the adult psychiatric mental health clinical nurse specialist and nurse practitioner tracks for advanced practice nurse certification. Only one certification examination for the specialty will remain, namely the Family Psychiatric Mental Health Nurse Practitioner track. The family focused specialty track will allow graduates to provide care for clients across the lifespan and expand their scope of specialty practice as a master’s prepared nurse. The curriculum has been revised to include content for both primary care and psychiatric mental care of the family inclusive of children and adolescents. In addition, the curricular content has been enhanced to focus on women and the elderly. The revised curriculum will enable students to sit for the Family Psychiatric Mental Health Nurse Practitioner board certification exam.

5. Request to Terminate Nine Programs at Two Institutions

Recommendation: That the Board approve the requests of President Linda Bleicken and President Beheruz Sethna that the institutions be authorized to terminate the following degree programs, effective January 8, 2013.

Abstract: The institutions have confirmed that there are no students matriculating through these programs and there will be no adverse impact on faculty members or students by terminating these programs.

Armstrong Atlantic State University Program Terminations:

- a. Master of Education with a major in Middle Grades Education
- b. Master of Education with a major in Special Education (Behavior Disorders)
- c. Master of Education with a major in Special Education (Learning Disabilities)
- d. Master of Science in Physical Therapy
- e. One-Year Certificate in Basic Law Enforcement

University of West Georgia Program Terminations:

- a. Specialist in Education with a Major in Middle Grades
- b. Bachelor of Science with a Major in Secondary Teacher Education
- c. Bachelor of Science with a Major in Recreation
- d. Bachelor of Business Administration in Technology Support Systems

6. Reassignment of the Skidaway Institute of Oceanography to the University of Georgia

Recommendation: That the Board approve the request of Chancellor Henry (“Hank”) Huckaby to reassign the Skidaway Institute of Oceanography to the University of Georgia, effective January 8, 2013.

Abstract: The Skidaway Institute of Oceanography currently reports to the Chancellor. Aligning the Institute and the University will streamline operations and enhance the research efforts of both Skidaway and UGA’s marine and coastal programs. The new alignment is supported by Georgia Tech and Savannah State University.

7. **Establishment of the Omer Clyde and Elizabeth Parr Aderhold Professorship in Education, University of Georgia**

Recommendation: That the Board approve the request of President Michael F. Adams that the University of Georgia (“UGA”) be authorized to establish the Omer Clyde and Elizabeth Parr Aderhold Professorship in Education, effective January 8, 2013.

Abstract: The endowed position, housed within the College of Education, received a gift of \$402,903 from the sale of Franklin County land at the bequest of the estate of Dr. Omer Clyde Aderhold. The College of Education seeks to honor Omer Clyde and Elizabeth Parr Aderhold with the establishment of the professorship in recognition of their dedication to and passion for education. Per the fund agreement, the holder of the professorship will be a highly visible professor with an outstanding national reputation in education. The home academic unit of the professorship will be determined by the qualifications and research agenda of the person appointed. The University of Georgia Foundation has confirmed that funds are available to support the endowed chair.

8. Establishment of the Ashe, Rafuse & Hill Professorship in the College of Law, Georgia State University

Recommendation: That the Board approve the request of President Mark P. Becker that Georgia State University (“GSU”) be authorized to establish the Ashe, Rafuse & Hill Professorship, effective January 8, 2013.

Abstract: A sum of \$250,000 is on deposit with the Georgia State University Foundation. Through a generous gift from Lawrence Ashe and Kathy Ashe, the Ashe, Rafuse & Hill Professorship will highlight the College of Law’s focus on teaching and scholarly research that bridges theory to practice. The holder of the endowed position will be engaged in highly visible teaching, research, service, or administrative activities consistent with the purpose of the position and her/his own academic interests. The professorship will benefit Georgia State communities by providing leadership in teaching, research, and public service in ways that enhance the College of Law’s visibility and underscore the importance of law as an academic discipline and profession.

Biosketch: The donors, Mr. and Mrs. Lawrence and Kathy Ashe, have been married since 1972 and are active supporters of Georgia State University. Lawrence Ashe, a recognized attorney in the areas of employment law, class action litigation, and civil rights is active in several civic and professional organizations and serves as a member and master on the Executive Committee of the Georgia State University College of Law Bleckley Inn of Court. Kathy Blee Ashe, an educator and community leader, served in the Georgia General Assembly House of Representatives between years 1991 to 2012 as the representative for House District 56. Active on several boards, she was a founding member of Georgia State University College of Law’s Board of Visitors. Mr. and Mrs. Ashe seek to further assist the College of Law in advancing its mission through establishment of the professorship.

Ashe, Rafuse & Hill, LLP is an Atlanta, Georgia law firm with a national litigation practice focusing on employment, civil rights, and commercial disputes. Founded by Lawrence Ashe and Nancy Rafuse in 2003, and later supported by William Hill in 2004, the firm has distinguished itself as a professional leader in terms of quality representation with personalized, client-focused services.

9. **Establishment of the Esther R. and Edward J. Brown, Jr. Chair in the Ernest Scheller Jr. College of Business, Georgia Institute of Technology**

Recommendation: That the Board approve the request of President G. P. (“Bud”) Peterson that Georgia Institute of Technology (“GIT”) be authorized to establish the Esther R. and Edward J. Brown, Jr. Chair in the Ernest Scheller Jr. College of Business, effective January 8, 2013.

Abstract: The Georgia Tech Foundation has confirmed that funds are available to support the chair and total at least \$1.5 million. The chair is supported through the generosity of Edward J. Brown, III. It is anticipated that the Esther R. and Edward J. Brown, Jr. Chair will enhance the College’s ability to attract and retain eminent teacher-scholars.

Biosketch: Edward J. Brown, III had an impressive 32-year career with Bank of America (formerly BankAmerica Corporation and NationsBank Corporation), including positions as President of Corporate Banking, President of Global Finance, President of Global Capital Raising and Global Capital Markets, and President of Global Corporate and Investment Banking. Mr. Brown later joined Hendrick Automotive Group in year 2010 as Chief Financial Officer, and was named President and CEO in January 2011.

10. Establishment of the Nancy J. and Lawrence P. Huang Professorship in the Ernest Scheller Jr. College of Business, Georgia Institute of Technology

Recommendation: That the Board approve the request of President G. P. (“Bud”) Peterson that Georgia Institute of Technology (“GIT”) be authorized to establish the Nancy J. and Lawrence P. Huang Professorship in the Ernest Scheller Jr., College of Business, effective January 8, 2013.

Abstract: The Georgia Tech Foundation has confirmed that funds are available to support the chair and total at least \$750,000. The professorship is supported through the generosity of Nancy J. and Lawrence P. Huang. It is anticipated that the Nancy J. and Lawrence P. Huang Professorship will enhance the College’s ability to attract and retain eminent teacher-scholars.

Biosketch: In 1983, Lawrence Huang co-founded Tridom Corporation and remained with the company as Vice President & General Manager after it was acquired by AT&T. He joined CIENA in 1994 as Vice President of Sales and Marketing, and was promoted to Senior Vice President in 1996. Under Mr. Huang’s leadership, CIENA had record sales in its first twelve months of product shipments and he was named Senior Vice President of Strategic Account Sales until his retirement in year 2001.

11. Named Faculty Position Appointments

Institutional requests to appoint faculty with the appropriate qualifications into named faculty positions are found in the supplemental agenda.

AGENDA

COMMITTEE ON PERSONNEL AND BENEFITS

January 8, 2013

Agenda Items **Page No.**

APPROVAL ITEMS

1. Review opportunity to bid BOR healthcare and pharmacy benefits Third Party Administrator contract(s) for 2015 plan year 1

2. Explore feasibility of a system-wide voluntary benefits platform for 2014 2

AGENDA**COMMITTEE ON PERSONNEL AND BENEFITS****January 8, 2013****1. Approval to bid BOR healthcare and pharmacy benefits Third Party Administrator contract(s) for 2015 plan year**

Recommended: That the Board approves the proposal and timeline to secure a Third Party Administrator for the BOR healthcare plan and pharmacy benefits with a January 1, 2015 effective date.

Timeline:

January – April 2013	Engage institutions to comment on the healthcare needs of the USG workforce
April – June 2013	Workforce and data analysis
July – August 2013	Develop RFP
September – October 2013	Prepare for Open Enrollment for 2013
November 1, 2013	Issue RFP
February 1, 2014	Close RFP
March – April 2014	Recommend Third Party Administrator to Board of Regents
May 2014	Make offer to Third Party Administrator(s)
May 2014	Negotiations and Contract(s) finalized
June 1, 2014	2015 Open Enrollment Plan Changes due to HRIS vendor (ADP)
October - November 2014	2015 Open Enrollment
January 1, 2015	Effective date of new Third Party Administrator(s)

2. Approval to procure system-wide voluntary benefits platform for 2014

Recommended: That the Board supports the review and research of securing and implementing a system-wide voluntary benefits platform to become effective January 1, 2014.

A system-wide voluntary benefits program will enable the University System of Georgia to realize administrative processing efficiencies (via Shared Services and campus administrators), will reduce processing error risks, and will reduce costs for the institutions as well as employees. Additionally, a system-wide benefits platform ensures a consistent, competitively priced benefits program across all institutions and consistent access to coverage for all employees. This approach could immediately provide a network of voluntary benefits to those institutions that have a limited or no voluntary program.

AGENDA

GRADUATE MEDICAL EDUCATION COMMITTEE

January 8, 2013

Agenda Item _____ **Page No.**

Approval Items

1. Approval of Funding Recommendations from GREAT 1

AGENDA

GRADUATE MEDICAL EDUCATION COMMITTEE

January 8, 2013

1. **Action Item:** Approval of Recommendations from the GREAT

Background: Since the board approved recommendations made by the GREAT that outline how GME funds will be allocated, the GREAT has engaged in ongoing dialog with Georgia hospitals to engage them in the process of becoming teaching hospitals. Following the processes outlines to the board in May 2012, the GREAT is in regular discussion with potential GME partners. Based on analysis of materials provided by these partners to the GREAT, and on ongoing dialog with them, the GREAT is prepared to make recommendations on distribution of USG GME funds to three of the potential partners at this time. Dialog is ongoing with additional hospitals, with specific recommendations for them expected to come to the board at a later meeting.

Specific recommendations on three hospitals are as follows

- Athens Regional Medical Center - to support establishing residency programs in internal medicine, family medicine, general surgery, OB/GYN and transitional year, producing up to 102 residents
- St. Mary's hospital - to support establishing a residency program in internal medicine, producing up to 30 residents
- South Georgia Medical Education and Research Consortium – to support new GME programs in internal medicine, family medicine, general surgery, emergency medicine and pediatrics/IM Peds, collectively training upwards of 146 residents. This consortium represents 5 hospitals in Southwest Georgia, including Archbold Memorial, Colquitt Regional, Phoebe Putney, South Georgia Medical Center and Tift Regional.

AGENDA

COMMITTEE ON ORGANIZATION & LAW

January 8, 2013

Agenda Item	Page No.
I. APPROVAL ITEMS	
1. Committee Orientation	1
2. Bylaw Amendment: Institutional Names	2
3. Mutual Aid Agreement: Bainbridge College	4
4. Honorary Degrees: Columbus State University; Georgia Institute of Technology; University of Georgia; University of West Georgia	5
5. Executive Session: Applications for Review	6

1. Committee Orientation

The committee chair and USG central office staff will brief new Committee on Organization & Law members on the group's charge, scope of duties, expectations, and operations.

2. Bylaw Amendment: Institutional Names

Bylaw I.6 Institutions of the University System

The University System of Georgia shall consist of the following institutions and such other institutions as may be established by the Regents from time to time:

Research Universities

Georgia Institute of Technology, ~~Atlanta~~
Georgia Regents University
Georgia State University, ~~Atlanta~~
~~Georgia Health Sciences University, Augusta~~
University of Georgia, ~~Athens~~

Regional Universities

Georgia Southern University, ~~Statesboro~~
Valdosta State University, ~~Valdosta~~

State Universities

Albany State University, ~~Albany~~
Armstrong Atlantic State University, ~~Savannah~~
~~Augusta State University, Augusta~~
Clayton State University, ~~Morrow~~
Columbus State University, ~~Columbus~~
Fort Valley State University, ~~Fort Valley~~
Georgia College & State University, ~~Milledgeville~~
Georgia Southwestern State University, ~~Americus~~
Kennesaw State University, ~~Marietta~~
~~North Georgia College & State University, Dahlonega~~
~~Savannah State University, Savannah~~
~~Southern Polytechnic State University, Marietta~~
University of North Georgia
University of West Georgia, ~~Carrollton~~

State Colleges

Abraham Baldwin Agricultural College, ~~Tifton~~
Atlanta Metropolitan State College, ~~Atlanta~~
College of Coastal Georgia, ~~Brunswick~~
Dalton State College, ~~Dalton~~
Darton State College, ~~Albany~~
East Georgia State College, ~~Swainsboro~~

~~Gainesville State College, Gainesville~~
~~Georgia Gwinnett College, Lawrenceville~~
~~Georgia Highlands College, Rome~~
~~Georgia Perimeter College, Decatur~~
~~Gordon State College, Barnesville~~
~~Macon State College, Macon~~
~~Middle Georgia College, Cochran~~
Middle Georgia State College
~~South Georgia College, Douglas~~
South Georgia State College

Two-Year Colleges

~~Bainbridge College, Bainbridge~~
~~Waycross College, Waycross~~

3. Mutual Aid Agreement: Bainbridge College

Bainbridge College seeks permission from the Board of Regents to enter a mutually beneficial arrangement to engage in emergency management services with the City of Bainbridge.

4. Honorary Degrees: Columbus State University; Georgia Institute of Technology; University of Georgia; University of West Georgia

President Tim Mescon of Columbus State University; President G.P. “Bud” Peterson of the Georgia Institute of Technology; President Michael Adams of the University of Georgia; and President Behruz Sethna of the University of West Georgia request the Board approve their requests to award honorary degrees to Mr. Dan Amos; Mr. Thomas A. Fanning and Ernest J. Scheller, Jr.; Ms. Mary Frances Early; and Mr. Phillip E. Kauffman, respectively.

5. Executive Session: Applications for Review

Applications for review are appeals made to the Board of Regents pursuant to Article VIII of the Bylaws. They are typically personnel matters and issues of academic status, which are discussed in executive session.

AGENDA

COMMITTEE ON INTERNAL AUDIT, RISK, AND COMPLIANCE

January 8, 2013

Agenda Item **Page No.**

INFORMATION ITEMS

1. Committee on Internal Audit, Risk, and Compliance Orientation and Forward Agenda 1

APPROVAL ITEMS

2. Internal Audit Charter 2
3. Compliance and Ethics Charter 3
4. Committee on Internal Audit, Risk, and Compliance Charter 4

AGENDA

COMMITTEE ON INTERNAL AUDIT, RISK, AND COMPLIANCE

January 8, 2013

1. Information Item: Committee on Internal Audit, Risk, and Compliance Orientation and Forward Agenda

The Committee on Internal Audit, Risk, and Compliance (Committee) maintains a one-year forward agenda that details items scheduled for approval and review by the Committee. At this meeting, Committee Chair Regent Larry Walker and Chief Audit Officer and Associate Vice Chancellor Mr. John Fuchko, III will review the planned forward agenda and obtain the Committee's feedback on additional agenda items that should be addressed over the coming year.

The operations of the Committee are specified in the Committee's Charter as approved by the Board of Regents. A copy of the proposed 2013 charter is included as part of item four within the Committee's agenda.

2. Approval Item: Internal Audit Charter

Recommended: That the Board approve the Internal Audit Charter.

Background: The Internal Audit Charter defines the purpose, authority, and responsibility of the internal audit function of the University System of Georgia. The professional standards governing internal audit state that the chief audit executive must “periodically review the internal audit charter and present it to senior management and the board for approval.” The charter was last approved in August 2011. At this meeting, Chief Audit Officer & Associate Vice Chancellor John Fuchko, III will present the Internal Audit Charter for approval.

3. Approval Item: Compliance and Ethics Charter

Recommended: That the Board approve the Compliance and Ethics Charter.

Background: The Compliance and Ethics Charter defines the purpose, authority, and responsibility of the University System of Georgia compliance and ethics function. The federal standards governing compliance programs states that the “organization’s governing authority shall be knowledgeable about the content and operation of the compliance and ethics program and shall exercise reasonable oversight with respect to the implementation and effectiveness of the compliance and ethics program.” A charter is a recognized tool to enhance oversight of the compliance and ethics function. The charter was last approved in August 2011. At this meeting, Chief Audit Officer & Associate Vice Chancellor John Fuchko, III will present the Compliance and Ethics Charter for approval.

4. Approval Item: Committee on Internal Audit, Risk and Compliance Charter

Recommended: That the Board approve the Committee on Internal Audit, Risk, and Compliance Charter.

Background: The oversight role of the Committee on Internal Audit, Risk, and Compliance (hereafter Committee) was previously defined within the “Internal Audit Charter” and the “Compliance and Ethics Charter.” An external peer review of the USG internal audit function recommended that the role of the Committee formally be defined in a separate charter. Additionally, the Committee’s role with respect to oversight of USG risk management is defined within the proposed charter. The Committee charter was last approved in August 2010.

At this meeting, Chief Audit Officer & Associate Vice Chancellor John Fuchko will present the Committee on Internal Audit, Risk, and Compliance Charter for approval.



UNIVERSITY SYSTEM OF GEORGIA (USG)

INTERNAL AUDIT CHARTER

Introduction

Internal auditing provides independent and objective assurance and consulting services to the Board of Regents (Board), the Chancellor, and institution leadership in order to add value and improve operations. The internal audit activity helps the University System Office (USO) and USG institutions accomplish their objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of governance, risk management, compliance, and internal control processes.

Role of the Internal Audit Function

USO Internal Audit and the campus internal audit staffs will provide internal audit services for the University System. All institutional chief auditors at institutions having an internal audit function shall have a direct reporting relationship to the President of that institution and to the Chief Audit Officer / Associate Vice Chancellor (CAO). The CAO shall have the authority to direct the institutional internal audit functions to audit specific areas at their institutions as needed to fulfill the system-wide audit plan. The CAO will report all significant audit issues directly to the Chair of the Committee on Internal Audit, Risk, and Compliance (Committee) and to the Chancellor.

Organizational Responsibilities

1. The CAO has the responsibility to develop a System-wide audit plan for approval by the Committee based on a documented risk assessment that encompasses all components of the System. The Committee will approve this plan while the CAO may approve minor changes to the Audit Plan as needed. The CAO will coordinate audit plan implementation with USG institution internal auditors and with the State Department of Audits and Accounts.
2. The CAO is responsible for providing functional coordination and guidance for System-wide audit activities to include:
 - a) Meet with appropriate component officials to review the status of institution audit work and available resources.
 - b) Approve campus internal audit charters.
 - c) Review audit results from all campus-based internal auditors and the State Department of Audits and Accounts.
 - d) Monitor the implementation of audit recommendations system-wide. Chief Business Officers and/or campus audit directors will prepare a report of the implementation status of all audit recommendations, have it approved by the campus President and submit it to the CAO on a periodic basis using the procedures established by the CAO. Implementation status of significant and material audit recommendations will be reported periodically to the Committee.
 - e) Periodically prepare a summary of internal audits and highlight matters of interest for audits conducted at each institution and present such data to the Committee and to the Chancellor.
 - f) Attend meetings of the Committee and Board as required.
 - g) Ensure that all audits conducted by the University System Office have been thoroughly reviewed and discussed with appropriate institutional officials prior to being released to the Chancellor or to the Committee Chair.

3. USG internal audit professionals and the USG internal audit function shall comply with the International Standards for the Professional Practice of Internal Auditing as published by the Institute of Internal Auditors (IIA). All USG internal auditors, to include institutional and System Office auditors, shall comply with the IIA Code of Ethics.

Definition of Audit Engagement Scope

The scope of internal auditing encompasses the examination and evaluation of the adequacy and effectiveness of the organization's system of governance, risk management, compliance, internal control and the quality of performance in carrying out assigned responsibilities. The scope will vary by institution or area and may include:

1. Review the effectiveness of governance processes to include the:
 - a) Promotion of ethical behavior within the organization;
 - b) Efficiency of organizational performance management and accountability;
 - c) Communication of risk and control information to appropriate areas of the organization; and,
 - d) Coordination of activities and information among the Board, external and internal auditors, and management.
2. Review the effectiveness of risk management processes to include the:
 - a) Alignment of organizational objectives in support of the USG and institutional missions;
 - b) Identification and assessment of significant risks;
 - c) Alignment of risk responses with the USG's risk appetite; and,
 - d) Capturing and communication of relevant risk information across the USG and its institutions so as to enable staff, management, and the Board to carry out their responsibilities.
3. Review the reliability and integrity of financial and operating information and the means used to identify, measure, classify, and report such information.
4. Review the systems established to ensure compliance with those policies, plans, procedures, laws, and regulations which could have a significant impact on operations and reports and whether the System is in compliance.
5. Review the means of safeguarding assets and, as appropriate, verify the existence of such assets.
6. Review and appraise the economy and efficiency with which resources are employed.
7. Review operations or programs to ascertain whether results are consistent with established objectives and goals and whether the operations or programs are being carried out as planned.
8. Review the status of Information Technology policies and procedures, verifying that required hardware, software and process controls have been implemented and that the controls are functioning properly.
9. Conduct special audits at the request of the Committee Chair, the Chancellor or institution presidents.
10. Investigate reported occurrences of fraud, waste, and abuse and recommend controls to both prevent and detect such occurrences.
11. Analyze and review public private ventures associated with the USG, USG institutions, and cooperative organizations.

12. Provide consulting services at the request of institution management and with the CAO’s approval consistent with the IIA standards governing consulting engagements. Consulting engagements undertaken by the OIAC should have the potential to contribute to the improvement of governance, risk management, compliance, and/or internal controls within the USG or within a USG institution.

Reporting Procedures

The President of the institution receiving an internal audit report from the OIAC will respond within 30 days. This response will indicate agreement or disagreement, proposed actions, and the dates for completion for each specific finding and recommendation. If a recommendation is not accepted, the reason should be given. A final written report will be prepared and issued by the CAO.

Authorization

To the extent permitted by law, the OIAC has full access to all activities, records, properties, and personnel within the University System of Georgia. The OIAC is authorized to review and appraise all operations, policies, plans, and procedures. Documents and other materials provided to the OIAC will be handled in the same prudent manner as handled by those employees normally accountable for them.

Approved by the Board of Regents of the University System of Georgia on January 8, 2013:

_____	_____
William “Dink” NeSmith, Jr.	
Chair of the Board of Regents	Date

_____	_____
Larry Walker	
Chair of the Committee on Internal Audit, Risk, and Compliance	Date

_____	_____
Henry “Hank” M. Huckaby	
Chancellor	Date



UNIVERSITY SYSTEM OF GEORGIA (USG) **COMPLIANCE AND ETHICS CHARTER**

Introduction

The Compliance and Ethics Program promotes an organizational culture that encourages ethical conduct and a commitment to compliance with the law. The Compliance and Ethics Program also assists the Board, the Chancellor, and institution leadership prevent and detect criminal conduct by USG employees involving USG institutions through exercising due diligence. Board Policy 7.16 Compliance and Board Policy 8.2.20 University System of Georgia Ethics Policy serve as the primary policy framework for the Compliance and Ethics Program.

Role of the Compliance Function

The USO Compliance and Ethics Program (Compliance) is responsible for directing the University System Office Compliance function which oversees the management of USO-specific compliance risks. Compliance advises the Board, the Chancellor, and System/institution leadership on significant compliance risks and action steps to mitigate significant compliance risks across the USG. The Chief Audit Officer / Associate Vice Chancellor (CAO) is responsible for providing system-wide coordination and support to USG institutional compliance functions through the Director of Compliance and Ethics. Finally, Compliance may conduct compliance investigations and reviews across the USG as needed to discharge an effective compliance program.

Organizational Responsibilities

1. The CAO is responsible for providing functional coordination and guidance for System-wide compliance activities that include:
 - a) Attend meetings of the Committee and Board as required.
 - b) Oversee the establishment of a USG compliance and ethics function and support the establishment of institutional compliance and ethics functions.
 - c) Periodically report to the Committee on significant compliance and ethics activities.
2. USG compliance and ethics professionals shall implement a compliance and ethics program consistent with the federal government's definition of an Effective Compliance and Ethics Program as outlined in Board Policy 7.16.3. Additionally, compliance and ethics program personnel shall comply with the Code of Ethics for Compliance and Ethics Professionals as adopted by the Society of Corporate Compliance and Ethics.

Compliance and Ethics Program Responsibilities

The compliance and ethics program responsibilities include:

1. Develop and manage a USO compliance and ethics function to manage USO-specific compliance risks;

2. Advise the Board, the Chancellor, and institution management on significant campus or USO compliance risks and provide action steps to mitigate significant compliance risks;
3. Coordinate and support USG institutional compliance functions;
4. Conduct compliance investigations and reviews as needed to discharge an effective compliance and ethics program.
5. Receive reports of alleged employee malfeasance and forward those reports, in consultation with the USG Chief Legal Officer, to the Attorney General’s Office for further investigation.

Authorization

To the extent permitted by law, Compliance and Ethics Program personnel have full access to all activities, records, properties, and personnel within the University System of Georgia. Compliance and Ethics Program personnel are authorized to review and appraise all policies, plans, and procedures. Documents and other materials provided to Compliance and Ethics Program personnel will be handled in the same prudent manner as handled by those employees normally accountable for them.

Approved by the Board of Regents of the University System of Georgia on January 8, 2013:

 William “Dink” NeSmith, Jr.
 Chair of the Board of Regents

 Date

 Larry Walker
 Chair of the Committee on Internal Audit,
 Risk, and Compliance

 Date

 Henry “Hank” M. Huckaby
 Chancellor

 Date



BOARD OF REGENTS

COMMITTEE ON INTERNAL AUDIT, RISK, AND COMPLIANCE

CHARTER

Introduction

The Committee on Internal Audit, Risk, and Compliance (Committee) is the primary committee of the Board of Regents (Board) charged with oversight for auditing, risk management, and compliance and ethics activities within the University System of Georgia (USG). This Charter broadly defines the Committee's roles with respect to auditing, risk management, and compliance. However, nothing in this Charter shall be construed to the limit the authority of the Board or the Committee.

Committee Responsibilities - Auditing

The responsibilities of the Committee, as it pertains to auditing, are broadly summarized as follows:

1. Monitor the effectiveness of management's system of internal control.
2. Monitor the USG's efforts to prevent, deter, and detect fraud.
3. Ensure open communications among management, internal auditors, external auditors, and the Committee.
4. Discuss audit issues with external auditors as required by relevant professional standards.
5. Monitor the performance of the USG internal audit function to include ensuring institutional audit officer and USG chief audit officer (CAO) qualifications and independence.
6. Review the results of the internal audit quality assurance program.
7. Meet with the CAO on a periodic basis to review the internal audit risk assessment and audit plan.
8. Review selected significant findings with the CAO and management to include management's response to those findings, any restrictions placed on the scope of audit work, and any significant changes to the approved audit plan.
9. Direct the CAO to conduct audits or reviews as needed to address significant risk issues.
10. Periodically review the status of open audit findings and other issues to include the progress made in closing open issues.
11. Solicit feedback from institutional and USG management on specific findings or issues as deemed necessary by the Committee.
12. Review and submit the Audit Charter to the Board for approval on a periodic basis to include changes needed to ensure that the audit function is complying with professional standards and addressing emerging audit issues.
13. Approve the appointment and termination of the USG CAO.

Committee Responsibilities – Risk Management

The responsibilities of the Committee, as it pertains to risk management, are broadly summarized as follows:

1. Assist the Board in fulfilling its oversight responsibilities with regards to major USG risks as defined in Board policy.
2. Provide assurance to the Board that major risks are being identified and managed across the USG through formal risk management programs.
3. Ensure that major risks are being aggregated at the System level and review the steps management has taken with respect to these risks.
4. Evaluate USG risk exposure and define the Board's risk tolerance.

5. Review reports pertaining to major risks and pertaining to the effectiveness of the USG risk management activities.
6. Escalate major risks and other significant risk management issues to the full Board for review and potential action.

Committee Responsibilities – Compliance and Ethics

The responsibilities of the Committee, as it pertains to the compliance and ethics program, are broadly summarized as follows:

1. Ensure that the proper tone for compliance and ethics is established and reinforced through programs subject to review by the Committee.
2. Provide oversight as needed to ensure that the Compliance and Ethics Program effectively prevents and detects misconduct by employees and officers of the University System of Georgia and its institutions.
3. Review the USG Ethics Policy on a periodic basis and make recommendations for changes as appropriate.
4. Assess the effectiveness of management’s system for receiving and resolving allegations pertaining to non-compliance with law, policy, and procedure.
5. Monitor the performance of the CAO and the compliance and ethics function.
6. Meet with the CAO on a periodic basis to review compliance risk assessments, action plans, and other steps taken to ensure the management of an effective compliance function.
7. Review and submit the Compliance Charter to the Board for approval on a periodic basis to include changes needed to ensure that the compliance function is complying with professional standards and addressing emerging compliance and ethics issues.

Periodic Charter Review and Evaluation

The Committee shall periodically assess its activities with respect to the responsibilities outlined in this charter and shall take action as needed in response to this assessment. This assessment shall include a review of the adequacy of the Committee Charter. Recommended revisions to the Committee Charter shall be submitted for approval to the Board.

Approved by the Board of Regents of the University System of Georgia on January 8, 2013:

<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> William “Dink” NeSmith, Jr. Chair of the Board of Regents	<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Date
<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Larry Walker Chair of the Committee on Internal Audit, Risk, and Compliance	<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Date
<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Henry “Hank” M. Huckaby Chancellor	<hr style="border: 0; border-top: 1px solid black; margin-bottom: 5px;"/> Date

AGENDA

COMMITTEE ON FINANCE AND BUSINESS OPERATIONS

January 8, 2013

Agenda Item	Page No.
INFORMATION ITEM	
1. Committee Orientation	1
APPROVAL ITEM	
2. Approval of Increase to the Athletic Fee at Kennesaw State University	2

AGENDA

COMMITTEE ON FINANCE AND BUSINESS OPERATIONS

January 8, 2013

1. Information Item: Committee Orientation

Vice Chancellor John Brown, will present information to the new members of the Committee on Finance and Business Operations on the annual practices pertaining to tuition policy, allocation strategy, budget processes, and overall financial management for the University System of Georgia.

2. Approval of Increase to the Athletic Fee at Kennesaw State University

Recommended: That the Board approve the request of President Dan S. Papp of Kennesaw State University to increase the Athletic Fee from \$152 to \$252 per semester for the purpose of starting a football program and Title IX women's athletic programs. The fee increase will be effective fall semester 2013.

Understandings: Kennesaw State University is seeking early approval of the fee request to enable the university to begin its national search for a head football coach and staff as soon as possible. Fee requests generally are approved by the Board during the April Board meeting.

Background: In September 2008 and August 2009, Kennesaw State University (KSU) President Dan Papp briefed the Board of Regents (BOR) of the University System of Georgia in Executive Session about the development of the KSU Sports and Recreation Park. This project included the purchase and development of land, including several intramural fields, a warm-up and practice field, a 16,000 square-foot student stadium with seating for 8,300 and walking trails, land and picnic areas, parking and other amenities. This Sports and Recreation Park was approved by the Board and currently is supported by at \$75 mandatory student fee.

These briefings included the possibility of beginning a football program to play in the KSU Stadium planned for the Sports and Recreation Park. Shortly after the August 2009 briefing, President Papp empanelled a 33-member Football Exploratory Committee (FEC) to study the possibility of beginning football at KSU. In Fall 2010, the committee issued its report, which by a vote of 31-1-1 urged KSU to explore the possibility of beginning a football program and Title IX women's sports at KSU.

Following the FEC's recommendation, KSU conducted a referendum, open to all KSU students, in which 56% of over 7,000 students (30% of the student body) who voted supported an increase in student fees of \$100 per student per semester to begin football and add Title IX sports. More recently, in October 2012, the Student Fee Committee voted 10-1 to increase fees by \$100 per student per semester to begin football and add Title IX sports. It is estimated that \$5 to \$6 million in operating funds will be required to support this initiative, including debt service to support stadium renovations and other related start-up costs estimated to be \$5.2 million. The fee increase will generate approximately \$4.8 million in revenue in fiscal year 2014. KSU is projecting additional income from sponsorships, gifts, game guarantees and season ticket sales to support the program.

To move toward re-attainment of NCAA Title IX compliance if football is added, KSU plans to provide six additional scholarships for women's track and cross-country in fiscal year 2014; add additional scholarships to women's lacrosse between fiscal years 2014 and 2016 until the NCAA limit of 12 is reached; and in fiscal year 2018, add at least one additional women's sport. While it has not been decided which women's sports will be added, leading candidates are acrobatics

2. **Approval Item: Approval of Increase to the Athletic Fee at Kennesaw State University (continued)**

and tumbling, which currently are known as competitive cheer; crew, sand volleyball, and equestrian.

President Papp states that football will bring positive national recognition to the university, enhance student retention, progression and graduation and create a sense of pride and connection among students, alumni, and the community at large.

Office of Fiscal Affairs

The mission of the Office of Fiscal Affairs is to serve the Chancellor, the Board of Regents and the University System of Georgia by providing leadership on fiscal policy, budgeting, and financial practices and procedures.

BOR Policy Manual Section 7.0: Finance and Business Highlights

Budgeting

The Budget Office supports the University System's highest priorities by identifying, projecting, allocating, and managing the central resources available for operating and capital expenditures.

- Allocation of Funds (*BOR Policy Manual 7.1.1*)
- Annual Operating Budget and Budget Amendments (*BOR Policy Manual 7.2*)
- Tuition and Fees (*BOR Policy Manual 7.3*)

Accounting and Reporting

Accounting and Reporting supports the University System of Georgia by providing leadership and guidance to USG Institutions on accounting policies and procedures and related reporting.

- Accounting and Reporting (*BOR Policy Manual 7.1.2*)
- Annual Financial Reports
- Business Procedures Manual

Business Services/Procurement

Business Services provides financial and office services to all customers of the University System Office to include employees, Regents, legislators, government agencies, auditors, vendors and grantors. Business services is also available to pursue strategies which provide the opportunity to generate and redirect savings through the coordination of the procurement activities of the institutions of the University System of Georgia relevant to standards, aggregation of purchasing power and negotiation of contract terms.

- Purchasing (*BOR Policy Manual 7.7*)
- Contracting Authority (*BOR Policy Manual 7.9*)

Fund Management

University System of Georgia (USG) institutions are authorized to establish and manage bank accounts, and to make investments of funds subject to restrictions of Board policy and state law.

- Banking and Investments (*BOR Policy Manual 7.5*)

Key Contacts

John Brown, Vice Chancellor for Fiscal Affairs, John.Brown@usg.edu, (404) 962-3206

Sherea Frazer, Special Assistant to the Vice Chancellor for Fiscal Affairs, Sherea.Frazer@usg.edu, (404) 962-3209

Ben Riden, Associate Vice Chancellor for Fiscal Affairs, Ben.Riden@usg.edu, (404) 962-3205

Tracey Cook-Robinson, Assistant Vice Chancellor for Fiscal Affairs/Budget Director, Tracey.Cook@usg.edu, (404) 962-3233

Vikki Williamson, Assistant Vice Chancellor for Fiscal Affairs/Accounting and Reporting, Vikki.Williamson@usg.edu, (404) 962-3210

Kristi Fuss, Controller, Kristi.Fuss@usg.edu, (404) 962-3224

Common Resources

Board of Regents Policy Manual Section 7.0 Finance and Business (<http://www.usg.edu/policymanual/section7/>)

Business Procedures Manual (http://www.usg.edu/business_procedures_manual/)

Annual Financial Reports (http://www.usg.edu/fiscal_affairs/financial_reporting/)

Historical Budget Detail (http://www.usg.edu/fiscal_affairs/functions/budgeting/)

Tuition & Fees (http://www.usg.edu/fiscal_affairs/tuition_and_fees/)

For more information on the functions within the Office of Fiscal Affairs, please visit the website at http://www.usg.edu/fiscal_affairs/.

AGENDA

COMMITTEE ON REAL ESTATE AND FACILITIES

January 8, 2013

<u>Agenda Item</u>	<u>Page No.</u>
INFORMATION ITEMS	
1. Facilities Committee Orientation	1
2. Integrated Evaluation of Proposals	2
3. Chancellor's Actions	3
a. Conveyance of 4.87 Acres of Real Property to the City of Warner Robins, Warner Robins Campus, Macon State College	4
b. Authorization of Project Budget Modification, Project No. J-184, Veterinary Medicine Learning Center, University of Georgia	5
4. Update on 2012 General Obligation Bond Issue, Georgia State Financing and Investment Commission, University System of Georgia	6
5. Executive Session	7
APPROVAL ITEMS	
6. Acquisition of Real Property, 80 Jackson Street, Newnan, University of West Georgia	8
7. Rental Agreement, 387 Technology Circle, Atlanta, Georgia Institute of Technology	9
8. Authorization of Project, Project No. BR-50-1302, Science Park Phase II, Georgia State University	10
9. Authorization of Project, Project No. BR-30-1301, Renovation of John A. and Joyce K. Caddell Building, Georgia Institute of Technology	11
10. Authorization of Project, Project No. BR-30-1302, Kitchen Renovations, West Campus Housing, Georgia Institute of Technology	12
11. Authorization of Project, Project No. BR-66-1302, Building #0448 Renovation Phase II, Georgia Southern University	13

AGENDA

COMMITTEE ON REAL ESTATE AND FACILITIES

January 8, 2013

Agenda Item	Page No.
12. Appointment of Construction Management Firm, Project No. BR-10-1304, Business Learning Center - Phase I, University of Georgia	14
13. Authorization of Project Budget Modification, Project No. BR-64-1201, Rehabilitate Bishop Hall - Phase I, Fort Valley State University	15
14. Approval of Georgia Public Telecommunications Commission Agreements	16
15. Demolition of Bathhouses Buildings #1972 and #1973, Health Sciences Campus, University of Georgia	17
16. Naming of the Ecker Family Courtyard, Georgia Institute of Technology	18

AGENDA

COMMITTEE ON REAL ESTATE AND FACILITIES

January 8, 2013

1. Facilities Committee Orientation

The Interim Vice Chancellor for Facilities, Lee H. Richey, will provide a review of the roles and responsibilities of the Committee on Real Estate and Facilities.

2. Integrated Evaluation of Proposals

In accordance with the Chancellor's direction set in July 2011, and associated October 2011 memo to Presidents, requests for Academic Programs, Student Fees and/or Capital Outlay for Facilities will be initially vetted through an integrated evaluation by the Academic, Fiscal Affairs, Audit and Facilities Vice Chancellors.

Specifically regarding Real Estate and Facilities Proposals - All facilities related proposals, regardless of funding source, should begin with a concept proposal submitted to the Office of Real Estate and Facilities. The development of the concept proposal should not require any significant expenditure of funds nor consultants to work at risk. Together Academic, Fiscal Affairs, Audit and Facilities staff will perform an initial, simultaneous review to determine whether the concept should proceed for more in-depth project development and analysis. The focus in the initial review will be on whether the project is needed and what alternatives exist.

Integrated evaluation of campus facilities related proposals has resulted in the following potential items:

1. University of Georgia ("UGA") - Rental at 394 Milledge Avenue. Rent of 32,189 square feet for use by UGA external affairs support functions mission critical for UGA's fund raising and management of Foundation resources.

The **next step** involves the appropriate level of detailed development including definition of scope, all due diligence, financing and requisite authorizations by the Board. This stage of detailed analysis will continue to involve Academic, Fiscal Affairs and Audit.

3. Chancellor's Actions

Pursuant to authority delegated by the Board at its November 2012 meeting, the Chancellor took the following action.

3.a. Conveyance of 4.87 Acres of Real Property to the City of Warner Robins, Warner Robins Campus, Macon State College

Approved: On November 19, 2012 the Chancellor administratively -

- a) Declared an approximately 4.87 acre tract of real property at the Warner Robins Campus of Macon State College (“MSC”) to be no longer advantageously useful to MSC or other units of the University System of Georgia but only to the extent and for the purpose of conveying this real property to the City of Warner Robins (the “City”).
- b) Authorized the conveyance of the above-referenced tract of real property to the City, subject to a reversion of the real property if it should cease to be used by the City.
- c) Authorized the legal details involved with the above transaction be handled by the Georgia Department of Law.

Understandings: In March 2004, the Board accepted a gift of approximately 71.5 acres of real property in Warner Robins. This action was accompanied by a conveyance of approximately 8.78 acres of real property to the City for use as roads.

This conveyance is needed to allow the City to improve the road to include road widening and the installation of round-abouts. Consideration for this conveyance is enhanced traffic flow, improved campus access, improved road safety, and potentially enhanced land value to the retained property.

3.b. Authorization of Project Budget Modification, Project No. J-184, Veterinary Medicine Learning Center, University of Georgia

Approved: On November 28, 2012 the Chancellor modified the budget of Project No. J-184 Veterinary Medicine Learning Center, University of Georgia ("UGA"), to increase the total project budget from \$81,300,000 to \$97,720,000.

Understandings: The Veterinary Medicine Learning Center, approved by the Board in August 2010, is in design. A design professional was appointed by the Board in September 2010. A construction management firm was appointed by the Board in February 2011. A budget modification was approved by the Board in August 2012 to provide for design professional services to reincorporate original program that was deleted from the project.

This modification will provide the construction funding for the reincorporated program.

Funding of this cost increase of \$16,420,000 will be from private funding, UGA Veterinary Medicine Teaching Hospital reserves, and an internal loan from the UGA Endowment and Loan Funds to be repaid by net income generated by the operations of the UGA Veterinary Medicine Teaching Hospital.

	<u>August 2012</u>	<u>Now</u>
Total Project Cost:	\$81,300,000	\$97,720,000
Construction Cost (Stated Cost Limitation):	\$61,400,000	\$77,898,650

4. Update on 2012 General Obligation Bond Issue, Georgia State Financing and Investment Commission, University System of Georgia

In November 2012, the Board adopted the Resolution prepared by the Revenue Division of the Department of Law covering the issuance of 2012 General Obligation Bonds (the “G.O. Bonds”) by the State of Georgia through the Georgia State Financing and Investment Commission for use in funding projects for the University System of Georgia.

The Revenue Division of the Georgia Department of Law prepared on behalf of the Board of Regents a Resolution to cover the sale of 2012 G.O. Bonds. The following is a list of the project funding request and the actual bonds sold in December 2012.

Project No.	Project Name/Institution	Original Bond Sale Request	Actual Bond Sale
<u>FY 2011 Capital Project Funding</u>			
J-183	“Humanities - Law Building” Georgia State University	1,200,000	1,200,000
	FY 2011 Subtotal:	\$1,200,000	\$1,200,000
<u>FY 2013 Capital Project Funding</u>			
J-164	“Biology Building” Georgia Southern University	2,800,000	2,800,000
J-189	“Education Classroom Facility” Kennesaw State University	3,200,000	0
GPL-59	“Construct Milner Public Library” Lamar County, Milner Georgia	780,000	780,000
	FY 2013 Subtotal:	\$5,880,000	\$3,580,000
	Total Bond Sale:	\$7,080,000	\$4,780,000

5. Executive Session

Materials to be handed out in executive session.

6. Acquisition of Real Property, 80 Jackson Street, Newnan, University of West Georgia

Recommended: That the Board authorize the purchase of approximately 6.13 acres of improved real property located at 80 Jackson Street, Newnan, from the City of Newnan (the “City”) for \$5,000,000 for the use and benefit of the University of West Georgia (“UWG”).

Recommended further: That acquisition of this real property be subject to completion of an environmental assessment of the real property indicating no significant problems or if environmental problems are indicated that the problems be mitigated before the real property is acquired.

Recommended further: That acquisition of this real property be subject to a recordable survey indicating no easements or restrictions that would adversely affect the use of the property.

Recommended further: That the legal details involved with this purchase of real property be handled by the Georgia Department of Law.

Understandings: In October 2012 the Board was informed that the City proposes to accept a gift of this improved real property from the Newnan Hospital Inc. and redevelop the property for use by UWG. This real property is improved with the approximately 119,275 square foot (“sf”) former Newnan Hospital, which was built in several phases between 1929 and 1985.

The City proposes to fund and redevelop the property for \$15,000,000. The renovated facility will include approximately 51,400 sf of useable space, approximately 31,860 sf warm shell space, and a new 2,700 sf 120-seat lecture hall. The City will be responsible for all costs of redevelopment including any required budget amendments. The redevelopment is anticipated to be complete in July 2014.

The purchase by the Board will occur only if the redevelopment is designed in accordance with design standards acceptable to the Board, and the redevelopment (including demolition, renovation and new construction) is performed to the satisfaction of the Board. The Board will be involved in the programming, design review, and construction review.

If acquired, the facility will be used by UWG for continued growth in Newnan and Coweta County, particularly in the field of nursing. The current Coweta County facility at 7 Solar Circle, Newnan, where UWG has been offering programs since 1991 will then be sold. Further action by the Board will be required for that sale.

There will be no restrictions on the acquisition, and the Board will receive Fee Simple Title with no reversions, restrictions, adverse easements, or any encumbrances on title to the real property.

Funding for the purchase is from UWG institutional funds.

7. Rental Agreement, 387 Technology Circle, Atlanta, Georgia Institute of Technology

Recommended: That the Board authorize the execution of a rental agreement between TEP1, LLC, (the “Landlord”), Landlord, and the Board of Regents, Tenant, for approximately 33,997 square feet (“sf”) of laboratory and support space located at 387 Technology Circle, Atlanta, for the period April 1, 2013, through June 30, 2013, at a monthly rent of \$67,852 (\$814,228 per year annualized/\$23.95 per sf per year) with options to renew on a year-to-year basis for nine consecutive one-year periods and then one additional seven month option period, with rent increasing 1% per year, for the use of the Georgia Institute of Technology (“GIT”).

Recommended further: That the terms of this rental agreement be subject to review and legal approval of the Georgia Department of Law.

Understandings: In conjunction with Emory University, this facility will allow GIT to create a critical mass of research programs focused in Biomedical Engineering with specific interests being Cardiovascular Fluid Dynamics and translational research. GIT programs will be industry-focused, such as the Translational Research Institute for Biomedical Engineering (“TRIBES”), which focuses on industry outreach and commercialization. The Professional Masters of Biomedical Innovation and Design (“BioID”) will also be located in this space. The proximity to Emory University & Saint Joseph's Translational Research Institute (“SJTRI”) will be an important asset to the work of the cardiovascular faculty.

Operating expenses, including cleaning, repairs and maintenance, grounds and security, utilities, administration, taxes, and insurance are estimated to be \$609,184 per year annualized.

Funding for the lease will come from a combination of GIT institutional funds, GIT sponsored research, and GIT research grants.

8. Authorization of Project, Project No. BR-50-1302, Science Park Phase II, Georgia State University

Recommended: That the Board authorize Project No. BR-50-1302, Science Park Phase II, Georgia State University (“GSU”) with a total project budget of \$25,536,000, to be funded from GSU indirect cost recovery funds.

Understandings: This project was identified to the Board as part of the Integrated Review Information Item in November 2012. This nine floor approximately 65,676 square foot building will include teaching laboratories, research laboratories, support space, and a mechanical floor. The project will be developed in phases. This phase will involve the completion of two finished floors, seven shelled floors and a mechanical floor. The shelled floors will be finished as researchers are brought in. Further action by the Board may be required for additional tenant build-out.

The estimated construction cost for this project is \$19,968,000.

The project is consistent with GSU’s master plan.

If authorized by the Board, the University System Office staff and GSU will proceed with design and construction of the project in accordance with Board of Regents procedures.

9. **Authorization of Project, Project No. BR-30-1301, Renovation of John A. and Joyce K. Caddell Building, Georgia Institute of Technology**

Recommended: That the Board authorize Project No. BR-30-1301, Renovation of John A. and Joyce K. Caddell Building, Georgia Institute of Technology (“GIT”), with a total project budget of \$2,500,000 to be funded from private donations.

Understandings: This 11,024 square foot building renovation will include replacement of the heating, ventilation, and air conditioning (“HVAC”) roof top units, a new roof, asbestos abatement, electrical upgrades, restoration of the sprinkler system, and replacement of the eastern building exterior envelope for better energy performance. Some interior space on the ground floor will also be reconfigured for a new multidisciplinary space for programs across the campus to leverage the building's central location. The building will continue to be used by the School of Building Construction within the College of Architecture.

The estimated construction cost for this project is \$1,680,000.

The project is consistent with GIT’s master plan.

If authorized by the Board, the University System Office and GIT will proceed with design and construction of the project in accordance with the Board of Regents procedures.

10. Authorization of Project, Project No. BR-30-1302, Kitchen Renovations, West Campus Housing, Georgia Institute of Technology

Recommended: That the Board authorize Project No. BR-30-1302, Kitchen Renovations, West Campus Housing, Georgia Institute of Technology (“GIT”), with a total project budget of \$3,100,000 to be funded from GIT Auxiliary Services funds.

Understandings: The kitchen cabinets and vanities in the Undergraduate Living Center, Maulding Hall, and the Sixth Street Apartments will be replaced with waterproof plywood construction and solid acrylic counter tops and vanities. New kitchen appliances will be installed and water lines will also be provided to supply ice makers for the refrigerators. The residence halls were constructed as part of the 1996 Olympic Village. It is anticipated that one building will be renovated over each of the next three summers.

The estimated construction cost for this project is \$2,468,000.

The project is consistent with GIT’s master plan.

If authorized by the Board, the University System Office and GIT will proceed with design and construction of the project in accordance with the Board of Regents procedures.

11. Authorization of Project, Project No. BR-66-1302, Building #0448 Renovation Phase II, Georgia Southern University

Recommended: That the Board authorize Project No. BR-66-1302 Building #0448 Renovation Phase II, Georgia Southern University (“GSOU”) with a total project budget of \$1,300,000 to be funded from GSOU institutional funds.

Understandings: Building #0448, an approximately 20,000 square foot, one-story metal structure, will be renovated to house the Access Controls, Mechanical Maintenance and Structural Maintenance Departments of the Physical Plant. Phase I was completed in 2012.

The estimated construction cost for this project is \$ 1,157,000.

The project is consistent with GSOU’s master plan.

If authorized by the Board, the University System Office staff and GSOU will proceed with design and construction of the project in accordance with Board of Regents procedures.

12. Appointment of Construction Management Firm, Project No. BR-10-1304, Business Learning Center - Phase I, University of Georgia

Recommended: That the Board appoint the first-named construction management firm listed below for the identified project and authorize the execution of a contract with the identified firm. Should it not be possible to execute a contract with the top-ranked firm, staff will then attempt to execute a contract with the other listed firms in rank order.

Following public advertisement by the UGA Real Estate Foundation, Inc., a qualifications-based selection process was held in accordance with Board of Regents procedures. The following recommendation is made:

Project No. BR-10-1304 Business Learning Center - Phase I, University of Georgia

Project Description: This project was authorized by the Board in October 2012. A Design Professional Firm was appointed by the Board in November 2012. The multi-story academic facility will serve the programmatic functions of the Terry College of Business support offices including Business, Development and Alumni, Marketing, Career Services, and Information Technology as well as the graduate MBA and PHD academic programs. Located within the Northwest Precinct, the new facility will anchor the future build out of the Business Learning Center as the Terry College of Business relocates its full program to this site in subsequent phases.

The project will be funded from private donations.

Total Project Cost: \$35,000,000
Construction Cost (Stated Cost Limitation): \$22,450,000

Number of firms that applied for this commission: 16

Recommended firms in rank order:

- 1.
- 2.
- 3.

13. Authorization of Project Budget Modification, Project No. BR-64-1201, Rehabilitate Bishop Hall - Phase I, Fort Valley State University

Recommended: That the Board modify the budget of Project No. BR-64-1201, Rehabilitate Bishop Hall - Phase I, Fort Valley State University, (“FVSU”), to increase the total project budget from \$1,413,570 to \$1,970,615.

Understandings: The project was approved by the Board in Fiscal Year (“FY”) 2009 and FY 2010 Major Repair and Renovation (“MRR”) funding allocations and the increase will add necessary demolition to the scope of the project and complete the design of Phase II. Funds to accommodate this request will be \$327,012 in re-allocated FY 2010 MRR funds and \$230,033 in Student Aid and Fiscal Responsibility Act (“SAFRA”) federal grant funds awarded to FVSU.

	<u>November 2011</u>	<u>Now</u>
Total Project Cost:	\$1,413,570	\$1,970,615
Construction Cost (Stated Cost Limitation):	\$1,200,000	\$1,604,570

14. Approval of Georgia Public Telecommunications Commission Agreements

Recommended: That the Board accept title to approximately 1.0 acre of real property located at 609 White House Parkway, Warm Springs from the State of Georgia.

Recommended further: That the Board authorize an amendment to the intergovernmental agreement between the Board and Georgia Public Telecommunications Commission (“GPTC”) for the use, maintenance, and operation of the above referenced real property that was approved by the Board in August 2012.

Recommended further: That the transfer of title and the terms and conditions of this agreement are subject to review and legal approval of the Georgia Department of Law.

Understandings: The 2012 State General Obligation Bond resolution approved by the Board in May 2012 included \$3,045,000 for major capital improvements to the GPTC headquarters building in Atlanta. In August 2012 the Board accepted title to the GPTC building to facilitate this work.

GPTC desires to redirect a portion of this funding to renovate the above referenced real property. This transfer of title for real property is necessary to facilitate this work. GPTC will enter into an intergovernmental management agreement with the Board of Regents under which GPTC will retain control and management of the real property. It is the intent of the parties that the real property will be transferred from the Board of Regents to the State of Georgia in accordance with the intergovernmental agreement.

The above referenced real property is located in Meriwether County (North Latitude: 32-51-08/West. Longitude: 84-42-04) and is the site of a tower and equipment for WJSP-TV/Channel 28 and WJSP-FM/88.1.

15. Demolition of Bathhouses Buildings #1972 and #1973, Health Science Campus, University of Georgia

Recommended: That the Board declare the Bathhouse Buildings #1972 and #1973, on the Health Sciences Campus of the University of Georgia (“UGA”), to be no longer advantageously useful to UGA or other units of the University System of Georgia and authorize demolition and removal of this building.

Recommended further: That the Board request the Governor to issue an Executive Order authorizing the demolition and removal of these buildings from the Health Sciences Campus of UGA.

Recommended further: That demolition and removal of these buildings be subject to adequate mitigation of all adverse environmental impacts.

Understandings: The 750 square foot (“sf”) Ladies Bathhouse (#1972), and 430 sf Men’s Bathhouse (#1973), constructed in 1960, are single story, concrete masonry unit and brick construction in poor condition. The Health Sciences Campus of UGA master plan identified these buildings for demolition.

As required by the Georgia Environmental Policy Act and the appropriate State Stewardship review, the Georgia Historic Preservation Division has reviewed the proposed demolition of the Health Campus Bathhouse Buildings and determined that mitigation is required. UGA will comply with the proposed mitigation requirements.

A hazardous materials survey and assessment has been conducted and has identified recommendations for the proper management and disposal of asbestos-containing materials, lead based paint, and other hazardous materials during demolition to ensure compliance with environmental regulations.

The site will be used as green space.

16. Naming of the Ecker Family Courtyard, Georgia Institute of Technology

Recommended: That the Board of Regents approve the naming of the courtyard within the Ken Byers Tennis Complex, at the Georgia Institute of Technology (“GIT”) the “Ecker Family Courtyard,” in recognition of Sandra T. and H. Allen Ecker.

Understandings: President G.P. “Bud” Peterson confirms that this naming conforms to the GIT naming guidelines and with the Board of Regents naming policy.

H. Allen Ecker, EE 1957, MS EE in 1958, was an exceptional student-athlete at GIT. Dr. Ecker was an integral member of the GIT Yellow Jackets football team led by Coach Bobby Dodd, and competed on victorious championship teams at the Cotton, Sugar, and Gator Bowls. While an undergraduate student, he met his wife, Sandra Taylor Ecker, when she was Queen of Greek Week in the spring of 1957. Married for more than five decades, the Eckers have three grown children and several grandchildren.

Dr. Ecker served in the United States Air Force before completing his Ph.D. in electrical engineering at Ohio State University. He returned to GIT to supervise a wide range of research and development in radar and associated technical areas for the Georgia Tech Research Institute (“GTRI”). Dr. Ecker is a cable television pioneer who began his career at Scientific-Atlanta (now Cisco) as Director of Research and Development and later served as Executive Vice President. For over 30 years, he guided the growth of the company in strategy, technology, and product and system development into one of the largest makers of set-top cable converter boxes and interactive online services.

Active in their community, Dr. and Mrs. Ecker have been involved with organizations including the Marcus Autism Center, the Atlanta Day Shelter for Women and Children, Hearts Everywhere Reaching Out (H.E.R.O.) for Children, and Zoo Atlanta. Dr. and Mrs. Ecker have established endowed scholarships for the GIT women’s and men’s tennis teams. The Eckers’ significant philanthropic investment extends to other strategic areas at GIT such as facilities, programs, and faculty and student support. Dr. and Mrs. Ecker are members of The Hill Society, GIT’s most prestigious donor recognition society.

AGENDA

COMMITTEE OF THE WHOLE: INTERNAL AUDIT, RISK, AND COMPLIANCE

January 8, 2013

Agenda Item _____ **Page No.**

INFORMATION ITEMS

- | | | |
|----|-----------------|---|
| 1. | Ethics Training | 1 |
|----|-----------------|---|

AGENDA

COMMITTEE OF THE WHOLE: INTERNAL AUDIT, RISK, AND COMPLIANCE

January 8, 2013

1. Information Item: Ethics Training

The duties of the Board of Regents of the University System of Georgia are specified in various governing documents to include the Bylaws of the Board of Regents and the University System of Georgia (USG) Ethics Policy. Chief Audit Officer John Fuchko, III will provide the Board an overview of the Bylaws provisions and USG Ethics Policy requirements that pertain to members of the Board of Regents. This orientation shall include signing the USG Ethics Policy certification and shall constitute the ethics training required by Board Policy 8.2.20.

8.2.20 University System of Georgia Ethics Policy

8.2.20.1 Introduction

The USG is committed to the highest ethical and professional standards of conduct in pursuit of its mission to create a more educated Georgia. Accomplishing this mission demands integrity, good judgment and dedication to public service from all members of the USG community.

While the USG affirms each person's accountability for individual actions, it also recognizes that the shared mission and the shared enterprise of its institutions require a shared set of core values and ethical conduct to which each member of the USG community must be held accountable. Furthermore, the USG acknowledges that an organizational culture grounded in trust is essential to supporting these core values and ethical conduct.

The following Statement of Core Values and Code of Conduct are intended to build, maintain and protect that trust, recognizing that each member of the USG community is responsible for doing his/her part by upholding the highest standards of competence and character.

8.2.20.2 Applicability

The USG Ethics Policy applies to all members of the USG community. The USG community includes:

1. All members of the Board of Regents;
2. All individuals employed by, or acting on behalf of, the USG or one of the USG institutions, including volunteers, vendors, and contractors; and,
3. Members of the governing boards and employees of all cooperative organizations affiliated with the USG or one of its institutions.

Members of the Board of Regents and all individuals employed by the USG or one of its institutions in any capacity shall participate in USG Ethics Policy training, and shall certify compliance with the USG Ethics Policy on a periodic basis as provided in the USG Business Procedures Manual. Cooperative organizations, vendors, and contractors shall certify compliance with the USG Ethics Policy by written agreement as provided in the USG Business Procedures Manual.

The USG Ethics Policy governs only official conduct performed by or on behalf of the USG. Violations of the USG Ethics Policy may result in disciplinary action including dismissal or termination.

8.2.20.3 Statement of Core Values

Every member of the USG community is required to adhere to the USG Statement of Core Values – Integrity, Excellence, Accountability, and Respect – that form and guide the daily work of the organization.

1. **Integrity** – We will be honest, fair, impartial and unbiased in our dealings both with and on behalf of the USG.
2. **Excellence** – We will perform our duties to foster a culture of excellence and high quality in everything we do.
3. **Accountability** – We firmly believe that education in the form of scholarship, research, teaching, service and developing others is a public trust. We will live up to this trust through safeguarding our resources and being good stewards of the human, intellectual, physical and fiscal resources given to our care.
4. **Respect** – We recognize the inherent dignity and rights of every person, and we will do our utmost to fulfill our resulting responsibility to treat each person with fairness, compassion and decency.

8.2.20.4 Purpose of the Code of Conduct

The USG recognizes that each member of the USG community attempts to live by his or her own values, beliefs and ethical decision-making processes. The purpose of the Code of Conduct is to guide members of the USG community in applying the underlying USG Statement of Core Values to the decisions and choices that are made in the course of everyday endeavors. Each USG institution must ensure that its institutional ethics policies are consistent with this USG Ethics policy.

8.2.20.5 Code of Conduct

We will:

- I. Uphold the highest standards of intellectual honesty and integrity in the conduct of teaching, research, service and grants administration.
- II. Act as good stewards of the resources and information entrusted to our care.
- III. Perform assigned duties and professional responsibilities in such a manner so as to further the USG mission.
- IV. Treat fellow employees, students and the public with dignity and respect.
- V. Refrain from discriminating against, harassing or threatening others.
- VI. Comply with all applicable laws, rules, regulations and professional standards.
- VII. Respect the intellectual property rights of others.
- VIII. Avoid improper political activities as defined in law and Board of Regents Policy.
- IX. Protect human health and safety and the environment in all USG operations and activities.

- X. Report wrongdoing to the proper authorities; refrain from retaliating against those who do report violations; and cooperate fully with authorized investigations.
- XI. Disclose and avoid improper conflicts of interest.
- XII. Refrain from accepting any gift or thing of value in those instances prohibited by law or Board of Regents policy.
- XIII. Not use our position or authority improperly to advance the interests of a friend or relative.

8.2.20.6 Interpretation and Sources

The Statement of Core Values and Code of Conduct do not address every conceivable situation or ethical dilemma that may be faced by members of the USG community. Members of the USG community are expected to exercise good judgment absent specific guidance from this policy or other applicable laws, rules and regulations.

Specific questions pertaining to the Statement of Core Values or Code of Conduct should be directed to a supervisor or other competent authority at the University System Office or at the institution's office of Legal Affairs, Internal Audit, Compliance, Human Resources, Academic Affairs, or other appropriate office.

There are also multiple sources of authority that address specific questions or situations. Examples include:

1. [Board of Regents Policy Manual](#)
2. [Board of Regents Business Procedures Manual](#)
3. [Board of Regents Human Resources Administrative Practice Manual](#)
4. Institutional policies, handbooks and procedures
5. State Laws and Regulations
6. Federal Laws and Regulations

Further specific explanatory notes and references may be found on the USG's website at <http://www.usg.edu/audit/compliance/ethics/> or its successor reference (BoR Minutes, November 2008).

[return to top](#)



**BOARD OF REGENTS OF
THE UNIVERSITY SYSTEM OF GEORGIA**

January 8, 2013
Ethics Training and Policy Acknowledgement

I acknowledge that I received training on the University System of Georgia Ethics Policy and I agree to abide by the University System of Georgia Ethics Policy as specified in Board Policy 8.2.20.

Signature: _____

Date: January 8, 2013

Name: